

This document provides a brief description of the data
Beneficiary_Count_ZIP_Data_Jan_2012.zip

Purpose of File: This file is provided for use by Part D benefit sponsors as part of the Centers for Medicare & Medicaid Services (CMS) application process. An MA-PD, Cost Plan or stand-alone PDP is required to submit an application to offer Part D benefits. The general application requirements are outlined under 42 CFR §423.502. (This file is also used for preparing the 2012 Part D Reporting Requirements Data Submissions, which is outside the application process.) **This file is not intended for any other purpose or analytical use.**

This file should be used by applicants to complete the required pharmacy access analyses (Retail Pharmacy and Home Infusion Pharmacy) as outlined in the *Pharmacy Access* section of the application. The requirement for access to Part D Drugs is provided in 42 CFR §423.120. Definitions for Urban, Suburban and Rural are provided in 42 CFR §423.100. Applicant organizations are responsible to ensure that the definitions for Urban, Suburban, and Rural used in their analyses conform to regulatory definitions. Most network access analysis programs default to classifications consistent with the regulatory requirements.

Description of File: Two files are provided, one in MS Excel format (*Beneficiary_Count_ZIP_Data_Jan_2012.xlsx*), and the other in a comma delimited format (*Beneficiary_Count_ZIP_Data_Jan_2012.csv*). This summary file contains a listing of the number of beneficiaries by ZIP Code for the U.S. and five U.S. territories. Data are provided for 48,683,608 beneficiaries concerning the following 5 variables:

1. **Abbreviation** (State Abbreviation)
2. **PDP Region #** (Assigned PDP Region Number)
3. **MA Region #** (Assigned MA Region Number)
4. **ZIP** (5 Digit Zip Code)
5. **Medicare Beneficiaries** (Number of Medicare Beneficiaries)

Record count: 50,769

Data Source: The ZIP Code and Medicare beneficiary count (Variables 7 and 9) were extracted from the Medicare Beneficiary Database (MBD) as of January 11, 2012. Using the MBD extract, CMS verified all ZIP codes in the file based on commercially available ZIP Code mapping files. Beneficiaries were dropped from the count file when their ZIP Codes were unknown or when the beneficiaries reside in Military ZIP codes (i.e., APO, FPO).

State classification (Variable 1) was assigned using commercially available ZIP code mapping software. In instances where geographic regions were not supported by the ZIP mapping software, State classification was assigned based on CMS HPMS ZIP-County Crosswalk data. Variables 2 and 3 were assigned based on the assigned state

of residence using the PDP region and MA region groupings. Information on PDP and MA regions can be found at

<http://www.cms.hhs.gov/PrescriptionDrugCovGenIn/>

Note: U.S. territories are not assigned to a Medicare Advantage Region and, as such, the MA Region Group variable for ZIP codes located in the territories is left blank. All U.S. territories are assigned to their specific PDP Region Group.

If you have questions regarding this data file, please contact Charles Baffi within the CMS Medicare Drug Benefit Group, Division of Drug Benefit Purchasing (charles.baffi@cms.hhs.gov or 410-786-1372).